

A motion by Kentucky, on behalf of the Certification Committee, to adopt changes to the Governing Board Rules, Appendix C, The Criteria and Minimum Standards for Certification:

D	Technical Approach
1	Applicant's system complies with the Uniform Sourcing requirement and accommodate sourcing rules of Associate Member States {see Section 309 of the Streamlined Agreement, Section 400 (C) of the Certification Standards}
2	Applicant's system complies with the Exemption Processing requirement {see Section 317 of the Streamlined Agreement, Section 620 (D) of the Certification Standards}
3	Applicant's system response complies with the Uniform Rounding requirement {see Section 324 of the Streamlined Agreement}
4	Applicant's system complies with the Uniform Definitions requirement {see Section 104 and Appendix C of the Streamlined Agreement}
5	Applicant's system complies with the Rate and Boundary Changes requirement {see Section 305 of the Streamlined Agreement}
6	Applicant's system complies with the Tax Collection Procedures requirement {see Section 319 of the Streamlined Agreement}
7	Applicant's system complies with the Liability Relief requirement {see Section 306 of the Streamlined Agreement}
8	Applicant's system complies with the Tax Remittance Procedures requirement {see Section 319 of the Streamlined Agreement and Section 400(C) of the Certification Standards}
9	Applicant's system complies with the Tax Reporting Procedures requirement {see Section 321 of the Streamlined Agreement and Section 520 of the Certification Standards}
10	Applicant's system complies with the Record Retention Procedures requirement {see Section 630 of the Certification Standards and Section 321 of the Streamlined Agreement}
11	Applicant's system complies with the Audit Requirements. Each applicant must demonstrate that it can provide information in electronic format as required for certification and audit; must agree to any generally accepted sampling procedures, including electronically applied statistical sampling; and must be able to demonstrate that its systems are structured to provide for this functionality. {see Section 301 and 806(C) of the Streamlined Agreement, Sections 630 (F) and 700 of the Certification Standards}
12	Applicant's system complies with the Taxpayer Privacy requirement {see Section 321 of the Streamlined Agreement and Section 600 of the Certification Standards}
13	Applicant's application addressed the requirement for on-going real-time testing of the system including a method of conducting a performance test with an explanation of what will be revealed when the test is conducted (and the testing has confirmed this) {see Section 300 of the Certification Standards}
14	Applicant's system has shown the capability and applicant has given assurances that all taxes due will be collected and remitted to the appropriate Member states if the system is unavailable for a period of time.
15	Applicant's system has demonstrated the capability to support applicant's statement of what lead time would be necessary and what information would be required to act on behalf of additional sellers in the event that a different CSP ceases operations for any reason.
16	Applicant's system must be able to generate, transmit, and receive a bulk registration to and from the SST Central Registration system. Transmission must be accomplished using web service and in the format approved by TIGERS and the SST Governing Board.

Appendix C (Update 2014-11-17)

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Has met the requirement covered in Section 306 of the SSUTA.
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Records need to be maintained for a minimum of 4 years, and preferably 7 years.
This is mandatory and well-documented in the various sources referenced.
Applicant should provide policy statements for each action they have invoked to meet the privacy standards and protection of data.
Remote access testing should be available on an on-going basis; however, state submission of test files to CSPs should be coordinated through the Governing Board and the CSP testing contact.
Copy of disaster recovery plan. Plan to describe the redundancy and fail over capability of the system to ensure there is no loss of taxes due.
Applicant stipulates how much lead time that it needs to act on behalf of additional sellers. We can gauge lead time based on the number of vendors they could inherit, volume of product codes involved, CSP's server availability and equipment capacity, as well as the size of their staff. The best indication of this may be how fast they can get their initial vendors operational should they become certified).
This should be verified through the results from the system test process